SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ac <u>Hatto Chri</u>	ldress of Reportin <u>stopher</u>	F (I	2. Date of Event Requiring Stater Month/Day/Yea 19/01/2018	ment	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>General Motors Co</u> [ GM ]						
(Last) (First) (Middle) 300 RENAISSANCE CENTER M/C: 482-C23-A68					4. Relationship of Reporting Pers (Check all applicable) Director X Officer (give title below)		son(s) to Issuer 10% Owner Other (specify below)		<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> </ul>		
(Street) DETROIT MI 48265		48265				VP, Controller &	CAO			Form filed by	y One Reporting Person y More than One erson
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					7,504		D				
Table II - Derivative Securities Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securi Underlying Derivative Securi			4. Conve or Exe	ercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratior Date	n Title		Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	
Restricted Sto	Restricted Stock Units <sup>(1)</sup>		(2)	(2)	Common Stock		996	(2)		D	
Employee Sto	mployee Stock Option (Right to Buy) <sup>(3)</sup>		(3)	02/28/2025	5	Common Stock	59,870 31.		.32	D	
Employee Stock Option (Right to Buy) <sup>(4)</sup>		(4)	06/07/2027	7	Common Stock	16,627 34.3		.34	D		
Employee Stock Option (Right to Buy) <sup>(5)</sup>		(5)	02/13/2028	3	Common Stock	9,584	41	.4	D		

Explanation of Responses:

1. The RSUs were awarded on February 10, 2016 and will vest on February 10, 2019. Each RSU represents a right to receive one share of the Company's Common Stock upon settlement.

2. The RSUs do not have a conversion or exercise price, or a date on which they are exercisable or expire.

3. The Stock Options were granted on July 28, 2015. 60% of the options have vested. 20% vest on February 15, 2019 upon GM meeting or exceeding the median Total Shareholder Return ("TSR") of the original equipment manufacturers, other than GM, as of the grant date in the Dow Jones Automobiles and Parts Titan 30 Index ("OEM Peer Group") for the period July 28, 2015 through December 31, 2018; and the remaining 20% will vest on February 15, 2020 upon GM meeting or exceeding the median TSR of the OEM Peer Group for the period July 28, 2015 through December 31, 2019.

4. The Stock Options were granted on June 7, 2017 and began being settled in three equal, annual installments on February 14, 2018.

5. The Stock Options were granted on February 13, 2018 and will vest annually in three equal installments beginning February 13, 2019.

**Remarks:** 



\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## POWER OF ATTORNEY

I am an officer of General Motors Company ("GM") and as such I am required to file with the U.S. Securities and Exchange Commission ("SEC") one or more Forms 3, 4 and 5 under Section 16 of the Securities Exchange Act of 1934, as amended ("Section 16"), and the rules promulgated by the SEC under Section 16, and Form 144 under Rule 144 promulgated by the SEC under the Securities Act of 1933, as amended ("Rule 144").

I appoint each of Rick E. Hansen, Robert W. Boyle, Jr., John S. Kim, and Tia Y. Turk as my attorney-in-fact to:

- Do anything on my behalf that may be necessary or desirable to prepare, complete, and file Forms 3, 4, 5, or 144, or any amendment to those Forms, on a timely basis;
- Execute such Forms or any amendments to those Forms for me in my name and file such Forms and amendments with the SEC and any stock exchange or similar authority as required by law or rule on my behalf; and
- Take any other action in connection with those Forms or amendments that may be legally required or appropriate, in the opinion of the attorney-in-fact taking the action.

I give each attorney-in-fact the power and authority to do anything that is required or appropriate in using his or her powers as attorney-in-fact, to the extent that I could act if I were personally present, with full power of substitution. I agree to everything that these attorneys-in-fact (including any substitutes for them) do under this Power of Attorney that is consistent with its terms.

I acknowledge that complying with Section 16 and Rule 144 as they apply to me is my responsibility and that neither GM nor any of these attorneys-in-fact is assuming my responsibilities in that regard.

This Power of Attorney will remain in effect until I am no longer required to make filings under Section 16 or Rule 144, unless I inform these attorneys-in-fact in writing that I have revoked this Power, which I can do at any time.

Christopher T. Hatto