## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addr Engle Barry	ess of Reporting Perso	on <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol General Motors Co [ GM ]		tionship of Reporting Pe all applicable) Director	son(s) to Issuer 10% Owner	
300 RENAISSANCE CENTER M/C: 482-C23-D24 (Street) DETROIT MI 482		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/14/2020	X	Officer (give title below) Executive Vice	Other (specify below) President	
		48265-3000 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X			

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of ( 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock <sup>(1)</sup>	02/14/2020		A		55,606	A	\$0	141,343	D	
Common Stock	02/14/2020		F		20,635	D	\$34.76	120,708	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy) <sup>(2)</sup>	\$30.67	02/15/2020		A		50,442		02/15/2020	07/28/2025	Common Stock	50,442	\$0	151,327	D	

#### Explanation of Responses:

1. On February 14, 2017, the Reporting Person received a grant of Performance Stock Units ("PSUs") under the Company's 2014 Long-Term Incentive Plan. Under the grant, the PSUs could be earned based on the achievement of certain financial targets. The PSUs vested on February 14, 2020, and were awarded in shares of common stock.

2. On February 15, 2020, the remaining 20% of the October 1, 2015, Driving Stockholder Value Stock Option Grant vested upon meeting or exceeding the median Total Shareholder Return of the peer group for the period July 28, 2015, through December 31, 2019.

**Remarks:** 

<u>/s/ Tia Y. Turk, Attorney-In-</u> <u>Fact for Mr. Engle</u>

02/19/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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