| SEC For  | m 4<br>FORM   | 4 1  | JNITE   | ) STA   | TES                                  | SE                     | CUR          | ITI   | ES AND   | ) E)   | ХСНА             |  | СОММ   | ISSION  |  |                |  |  |  |
|--|---|--|---|---------|--------------------------------------|------------------------|--------------|-------|--|--------|------------------|--|--|---|--|----------------|--|--|--|
|  |   |  |   |         |                                      | Washington, D.C. 20549 |              |       |  |        |                  |  |  |   |  |                | OMB APPROVAL   |  |  |
| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |   |  |   |         |                                      | uant to                | o Sectio     | n 16( | a) of the Sec  | uritie | SHIP             | Estim  |  | er:   | 3235-0287<br>n<br>0.5  |                |  |  |  |
| 1. Name and Address of Reporting Person*   Stephenson Carol   (Last) (First) (Middle)  |   |  |   |         | Ge                                   | enera                  | <u>ıl Mo</u> | tors  | cker or Tradir<br><u>Co</u> [ GM                               | ]      |                  | (Ch  | 5. Relationship of Reporting Person(s) to Issuer<br>Check all applicable)<br>X Director 10% Owner<br>Officer (give title Other (specify<br>below) below) |   |  |                | vner   |  |  |
| 300 RENAISSANCE CENTER<br>M/C: 482-C24-A68   |   |  |   |         | 12/31/2020                           |                        |              |       |  |        |                  |  |  |   | loint/Grow   | n Filinc       | (Check An  | nlicable   |  |
| (Street)<br>DETROIT MI 48265-30  |   |  |   | 00      |                                      | Line)<br>X             |              |       |  |        |                  |  |  |   | ividual or Joint/Group Filing (Check Applicable<br>Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |                |  | n  |  |
| (City)   | (S  | ,  | <sup>(Zip)</sup><br>le I - Nor                | n-Deriv | ative                                | Sec                    | uritie       | s Ac  | quired, C  | Disp   | osed o           | of, or Be  | neficial   | ly Owned  | d  |                |  |  |  |
| 1. Title of Security (Instr. 3)<br>Date<br>(Month/D  |   |  |   |         |                                      | Execution Date,        |              |       | , Transaction Dispose<br>Code (Instr. 5)                       |        |                  | ities Acquired (A) o<br>d Of (D) (Instr. 3, 4 a  |  | Benefici  | es<br>ially<br>Following   | Form<br>(D) of | m: Direct<br>or Indirect<br>Instr. 4)                                    | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |
|  |   |  |   |         |                                      |                        |              |       | Code   | v      | Amount           | (A) o<br>(D)   | r Price  | Transac   | action(s)<br>. 3 and 4)  |                |  | insu: 4)   |  |
|  |   | Т  |   |         |                                      |                        |              |       | uired, Dis<br>s, options                                       |        |                  |  |  | v Owned   |  |                |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Da | Date,   | 4.<br>Transactio<br>Code (Inst<br>8) |                        |              |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |        |                  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4)               |                | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |   |         | Code                                 | v                      | (A)          | (D)   | Date<br>Exercisable  |        | opiration<br>ate | Title  | Amount<br>or<br>Number<br>of<br>Shares   |   |  |                |  |  |  |
| Deferred<br>Share<br>Units <sup>(1)</sup>  | (2)   | 12/31/2020                                 |   |         | A                                    |                        | 7,208        |       | (2)  |        | (2)              | Common<br>Stock  | 7,208  | \$30.48 <sup>(3)</sup>                              | 75,10  | 6              | D  |  |  |
| Deferred<br>Share<br>Units <sup>(4)</sup>  | (2)   | 12/31/2020                                 |   |         | A                                    |                        | 1,446        |       | (2)  |        | (2)              | Common<br>Stock  | 1,446  | \$18.32 <sup>(5)</sup>                              | 76,55  | 2              | D  |  |  |
| Explanatio   | n of Respons  | ses:                                       |   |         | 1                                    |                        |              |       |  |        |                  |  |  |   |  |                |  |  |  |

## 1. Deferred Share Units ("DSUs") were awarded pursuant to the General Motors Company Deferred Compensation Plan for Non-Employee Directors (the "Plan"). Under the Plan, each non-employee director is required to defer one-half of the \$305,000 annual retainer fee for service on the Board of Directors into DSUs and may elect to defer more.

2. Amounts credited as DSUs will be paid in cash after the non-employee director leaves the Board, valued at the average closing price of the Company's Common Stock during the quarter immediately preceding payment.

3. The price of the DSUs is the average closing price of the Company's Common Stock during the previous calendar year.

4. Represents dividends accrued on DSUs in 2020 that were credited as additional DSUs at the end of the year pursuant to the terms of the Plan.

5. The dividends were converted to DSUs based on the average of the high and low trading price of the Company's Common Stock on the applicable dividend payment date.

Remarks:

## /s/ Tia Y. Turk, Attorney-In-01/05/2021 Fact for Ms. Stephenson

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.